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## CAPNOGRAPHY AND ANESTHESIA

By Jenny Flynn, LVT



Capnography, which is the measurement of carbon dioxide in a patient's breath, provides a good picture of the respiratory process, comprising metabolism, circulation, and ventilation. It can pick up changes in metabolism that result from factors such as fluctuation in a patient's temperature that causes an increase or decrease in CO<sub>2</sub> excretion.

The American Society of Anesthesiologists (ASA) considers monitoring CO<sub>2</sub> a standard of basic anesthetic protocol. The association's guidelines include ensuring adequate ventilation to patients receiving general anesthesia, providing continuous evaluation of ventilation, and verifying correct positioning of an endotracheal (ET) tube by measuring CO<sub>2</sub> in the expired gas. In a closed claim study, anesthesiologists who reviewed 1,175 anesthetic-related closed malpractice claims found capnography and pulse oximetry could have potentially prevented 93 percent of avoidable anesthetic mishaps.<sup>1</sup> Some of those reported cases included death or injury from intubation error.

Capnography, which is the measurement of carbon dioxide in a patient's breath, provides a good picture of the respiratory process, which comprises metabolism, circulation, and ventilation. It can pick up changes in metabolism that result from factors such as fluctuation in a patient's temperature that causes an increase or decrease in CO<sub>2</sub> excretion. Capnography reflects changes in circulation resulting from poor cardiac output, variations in ventilation frequency and rate, or restrictive disease. Equipment-related anesthetic problems can be promptly detected and corrected when using capnography. For some of these problems, both physiologic and equipment-related, there is no other parameter that will alert you of trouble before the patient is adversely affected. For instance, just as the anesthesiologists found in their study, monitoring CO<sub>2</sub> in a patient's breath instantly confirms whether tracheal intubation was successful.

### Equipment mistakes and malfunctions

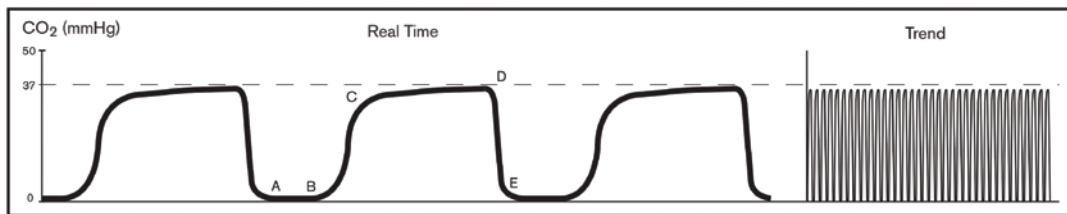
Capnography can detect a multitude of equipment problems and malfunctions. Eliminating accidental esophageal intubation is one, but monitoring CO<sub>2</sub> can alert staff to several other complications that occur at the endotracheal site. A CO<sub>2</sub> reading can signal a leaking or deflated cuff, kinked or obstructed tube, bronchial intubation, accidental endotracheal extubation, or inadvertent disconnection of the breathing circuit from the endotracheal tube. Within a couple of breaths, alterations in the shape of a previously normal waveform appear. It also picks up faults with the anesthesia machine in real time. Malfunctioning unidirectional valves, exhausted or faulty CO<sub>2</sub> absorbent/channeling, and excessive dead space can all be seen with capnography.

### Unidirectional valves

How do unidirectional valves work? Circle anesthesia machines have a light, thin disk that is seated at the top of the exhalation and inhalation valves. This component, which is commonly referred to as the flutter disk, should lay completely flat, closing off the exhalation valve during inhalation and only opening to allow expired gas to move through to the absorber canister or vent out to the adjustable pressure limiting (APL) valve during expiration. If the exhalation valve is incompetent—which means the flutter disk on the exhalation valve is open during inhalation—the patient is able to rebreathe CO<sub>2</sub> from the expiratory limb of the breathing circuit. Rebreathing could also occur if the inhalation valve is not functioning as intended. A misaligned, warped, or cracked flutter disk also increases the risk of rebreathing of CO<sub>2</sub>. Without the use of capnography, signs of a defective unidirectional valve could easily go unnoticed.

Figure 1

## Normal Capnogram



The “normal” capnogram is a waveform which represents the varying CO<sub>2</sub> level throughout the breath cycle.

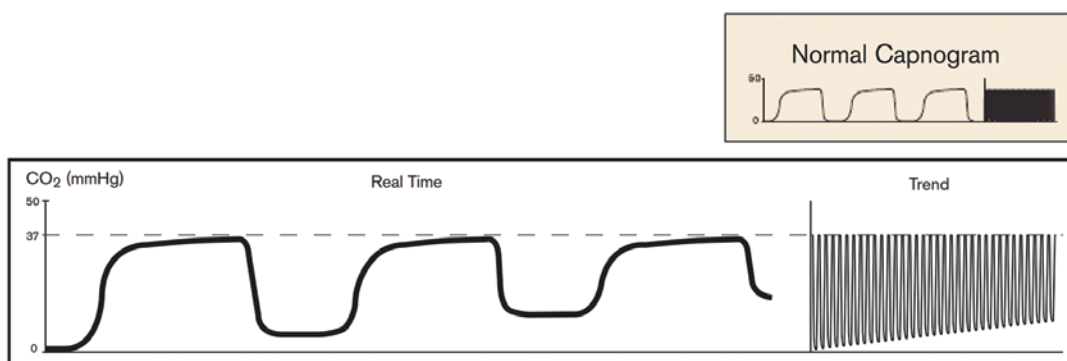
### Waveform Characteristics:

A-B	Baseline	D	End-Tidal Concentration
B-C	Expiratory Upstroke	D-E	Inspiration
C-D	Expiratory Plateau		

FIGURES COURTESY RESPIRONICS, INC. (2005). CAPNOGRAPHY REFERENCE HANDBOOK. RETRIEVED FROM BIT.LY/2X2G8DG.

Figure 2

## Rebreathing



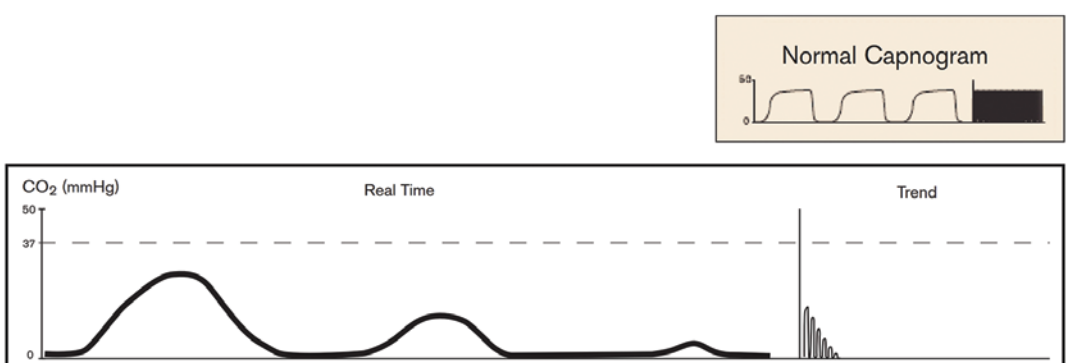
Elevation of the baseline indicates rebreathing (may also show a corresponding increase in ETCO<sub>2</sub>).

### Possible Causes:

- Faulty expiratory valve
- Inadequate inspiratory flow
- Malfunction of a CO<sub>2</sub> absorber system
- Partial rebreathing circuits
- Insufficient expiratory time
- Depleted CO<sub>2</sub> absorber

Figure 3

## Endotracheal Tube in the Esophagus



### Waveform Evaluation:

A normal capnogram is the best available evidence that the ET tube is correctly positioned and that proper ventilation is occurring. When the ET tube is placed in the esophagus, either no CO<sub>2</sub> is sensed or only small transient waveforms are present.

## Exhausted carbon dioxide absorber

Expired CO<sub>2</sub> absorbent is an often-overlooked explanation for anesthetic complications and cause for rebreathing carbon dioxide. Typically, CO<sub>2</sub> absorbent should be replaced after every eight to 10 hours of use. You may need to change it more frequently based on your anesthetic caseload, length of your procedures, size of the patients you treat, and the fresh gas flow rate. Relying on color change alone is unreliable. Most traditional CO<sub>2</sub> absorbents do not have permeant color change. This only occurs during the actual chemical reaction with CO<sub>2</sub> and the absorbent will revert close to its original color after some time. Once carbon dioxide absorbent has exhausted its ability to absorb CO<sub>2</sub>, it can still appear off-white in color. Remember to tap the canister while filling with CO<sub>2</sub> absorbent granules to help prevent channeling. When gas takes the path of least resistance, it can overexpose those granules, and if that path is in the middle of the canister, the color change may not be obvious. Thus, when replacing the absorbent based on its color change, the patient may actually be rebreathing CO<sub>2</sub> (InspCO<sub>2</sub>). This problem can be spotted immediately when using capnography.

## Dead space

Excessive dead space is another potential anesthetic complication that can be addressed by monitoring capnography. An area where there is no gas exchange, dead space is a component of the veterinary anesthesia machine and patient that can be ignored easily. Some dead

space in a patient is normal, such as anatomical dead space, but it is when we fail to recognize the added equipment or mechanical dead space that we run into complications. With the aid of the CO<sub>2</sub> sensor, an increase in carbon dioxide levels will be seen and staff can react in a timely and effective manner.

## Summary

To spot abnormalities, it is essential to know the appearance of a normal waveform, to understand the different characteristics of the capnogram, and to know normal EtCO<sub>2</sub> and InspCO<sub>2</sub> values for both cat and dog. Recognizing the different phases of the waveform will help identify whether the problem is occurring during exhalation or inhalation. Figure 1 shows the appearance and characteristics of a normal capnogram. Figure 2 illustrates the appearance of a patient rebreathing CO<sub>2</sub>. And Figure 3 depicts the appearance of the capnogram when the ET tube is accidentally placed in the esophagus. ●

*Jenny Flynn, LVT, is the supervisor of animal health clinical specialists for Midmark Corp. Special thanks to Andrew Schultz, Jr., MBA, former director of monitoring and critical care for Midmark and the company's current director of business development and clinical services for his contribution to this article.*

## REFERENCES

- <sup>1</sup> John H. Tinker, David L. Dull, Robert A. Caplan, Richard J. Ward, Frederick W. Cheney; Role of Monitoring Devices in Prevention of Anesthetic Mishaps: A Closed Claims Analysis. *Anesthesiology* 1989;71(4):541-546.
- <sup>2</sup> Contribution by Donald Sawyer, DVM, PhD, DACVA

## What is capnography?

Capnography<sup>2</sup> is the measurement of carbon dioxide in a patient's breath over time. Waveform capnography represents the amount of carbon dioxide (CO<sub>2</sub>) in exhaled air, which assesses ventilation. It consists of a number and a graph. The number represents capnometry, which is the partial pressure of CO<sub>2</sub> detected at the end of exhalation. This is end-tidal CO<sub>2</sub> (ETCO<sub>2</sub>) which is normally 35 to 45 mm Hg in dogs and 28 to 32 mm Hg in cats. The capnograph is the waveform that shows how much CO<sub>2</sub> is present at each phase of the respiratory cycle, and it normally has a rectangular shape (Figure 1). Capnography also measures and displays the respiratory rate. Changes in rate and tidal volume are displayed immediately as changes in the waveform and ETCO<sub>2</sub>. ●

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